



Intraday Counterparty Monitoring & Trading Kill Switch

Gain real-time control of counterparty exposure across all your client-driven trading activities. Continuously monitor credit limits, margin utilization, and market risk metrics. Generate alerts and visuals to notify sales of high utilization levels. If necessary, seamlessly halt trading on a client, product, or venue level. With 3forge, broker-dealers eliminate surprises, ensure regulatory compliance, and maintain robust risk oversight.

THE PROBLEM

Broker-dealers have a diversity of trading activity, across numerous channels. From algorithmic order execution to auto-quoting on multi-dealer platforms, counterparty activity is often fragmented, complicating a broker-dealer's ability to assess aggregate Credit exposure intraday.

THE SOLUTION

The 3forge platform can deliver real-time limit monitoring through a consolidated dashboard integrating diverse prime brokerage data sources. Risk managers can instantly view intraday credit limits, gross notional exposure, margin, VAR, and other critical risk metrics across multiple hedge fund counterparties. Automatic alerts via email, text, pop-ups, and sounds trigger as exposure approaches preset thresholds, while built-in kill-switch capabilities allow brokers to halt trading proactively. Fully configurable views provide granular insight, from aggregated firm-level summaries down to individual transactions, enabling precise risk management and timely interventions.

Deliver comprehensive, real-time portfolio performance insights with total transparency. Email info@3forge.com to schedule a demo.

WHY 3FORGE

Instant Visibility

- Real-time monitoring dashboards immediately identify emerging risks, helping brokers avoid breaches before they occur.

Integrated Control

Built-in kill-switch functionality swiftly disables trading, ensuring instant action at critical thresholds.

Flexible Customization

Highly configurable views and alerting mechanisms tailored to specific credit, margin, and risk limits.

Rapid Implementation

- Quick integration across diverse data sources (OMS, EMS, databases, risk platforms), significantly shortening time to deployment and value.